



Patent
253/276

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Paul Denimarck, et al.

Serial No.: To Be Determined

Filed: Concurrently herewith

For: BIOMETRIC SYSTEM AND
METHOD FOR IDENTIFYING A
CUSTOMER UPON ENTERING A
RETAIL ESTABLISHMENT

Group Art Unit: To Be Determined

Examiner: To Be Determined



INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

SD-164980.1

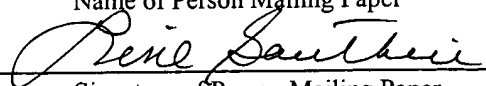
CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Express Mail Label No.

July 20, 2001
Date of Deposit

Line Gauthier
Name of Person Mailing Paper


Signature of Person Mailing Paper

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☐ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

STATEMENT UNDER 37 CFR § 1.97(e):

☐ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

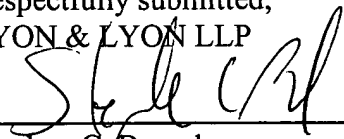
PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

- ☐ A check in the amount of _____ is enclosed for the above fee(s).
- ☐ Please charge _____ to Deposit Account No. **12-2475** for the above fee(s).

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. **12-2475**.

Respectfully submitted,
LYON & LYON LLP

Dated: July 20, 2001

By: 
Stephen C. Beuerle
Reg. No. 38,380



22249

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90071

253/276

Not yet assigned

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

APPLICANT:

Paul Denimarck, et al.

FILING DATE:

Concurrently herewith

GROUP:

Not yet assigned

(Use several sheets if necessary)

10020 U.S. PTO
09/909576

07/20/01

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
AA	4,766,296	06/30/87	Humble et al.	186	61	07/09/84
AB	4,766,296	08/23/88	Barth	235	383	04/29/87
AC	4,792,018	12/20/88	Humble et al.	186	61	06/12/85
AD	4,940,116	07/10/90	O'Connor et al.	186	61	03/07/89
AE	4,959,530	09/25/90	O'Connor	235	383	03/07/89
AF	4,964,053	10/16/90	Humble	364	466	10/11/89
AG	5,267,314	11/30/93	Stambler	380	24	11/17/92
AH	5,311,969	05/17/94	Dickover et al.	186	61	08/12/93
AI	5,340,970	08/23/94	Wolfe, Jr. et al.	235	383	03/17/92
AJ	5,524,073	06/04/96	Stambler	380	24	09/14/93
AK	5,641,039	06/24/97	Dumont	186	61	09/02/94
AL	5,646,998	07/08/97	Stambler	380	25	05/22/95
AM	5,664,110	09/02/97	Green et al.	705	26	12/08/94
AN	5,747,784	05/05/98	Walter et al.	235	383	10/22/96
AO	5,752,582	05/19/98	Hayward	186	61	02/09/96
AP	5,793,302	08/11/98	Stambler	340	825.34	11/12/96
AQ	5,838,812	11/17/98	Pare, Jr. et al.	382	115	07/25/96
AR	5,870,723	02/09/99	Pare, Jr. et al.	705	39	08/29/96
AS	5,877,485	03/02/99	Swartz	235	383	01/24/97
AT	5,900,614	05/04/99	Nakakawaji et al.	235	462.14	05/27/97
AU	5,923,735	07/13/99	Swartz et al.	379	93.12	05/29/96
AV	5,936,541	08/10/99	Lutz	340	825.33	06/10/97

EXAMINER:

N/A

DATE CONSIDERED:

EXAMINER: Please initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant. Thank you.

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

253/276

SERIAL NO.

Not yet assigned

APPLICANT:

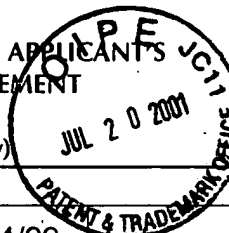
Paul Denimarck, et al.

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GROUP:

Not yet assigned

3628 U.S. PTO
09/909576

	AW	5,952,642	09/14/99	Lutz	235	383	12/15/97
	AX	5,965,861	10/12/99	Addy et al.	235	383	02/06/98
	AY	5,967,264	10/19/99	Lutz et al.	186	61	05/1/98
	AZ	5,974,148	10/26/99	Stambler	380	25	05/13/97
	BA	5,979,757	11/09/99	Tracy et al.	235	383	12/20/96
	BB	5,982,914	11/09/99	Lee et al.	382	124	07/29/97
	BC	6,012,039	01/04/00	Hoffman et al.	705	14	02/05/99
	BD	6,032,128	02/29/00	Morrison et al.	705	23	12/15/97
	BE	6,080,938	06/27/00	Lutz	177	25.15	08/13/97

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
BF	EP 0 991 027 A2	09/23/99	EPC	G07C	9/00	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

BG	"Fingerprint Identification Unit," Sony®
BH	"Fingerprint Recognition Device and Smart Card Reader," http://www.iosoftware.com/products/integration/fit100/index.htm , Printed 07/12/00
BI	"Grocery stores to test finger-imaging system," by Jeff Bray, http://webadv.chron.com/ads/p/perspectives/98/articles/fingerimaging.html , Printed 07/03/00
BJ	"Looking for an embedded biometric solution for your product line," Biometric Identification
BK	"Meeting your personal identification challenges," http://www.rofin.com.au/pr/-bss.htm , Printed 07/03/00
BL	"Mezzanine Veriprint 1100 (MV1100)," http://www.biometricid.com/Products/ , Printed 07/03/00
BM	"SecureSuite Integration for ISVs & SIs," http://www.iosoftware.com/products/integration/index.htm
BN	"Self-contained fingerprint ID systems expand security options," by Chappell Brown, http://www.eetimes.com/printableArticle?doc_id=OEG1998207S0031 , Printed 07/03/00
BO	"Self-contained Fingerprint Recognition Device," Adobe file

EXAMINER:

N/A

DATE CONSIDERED:

EXAMINER: Please initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant. Thank you.